REPORT	Form Approved OMB No. 0704-0188						
The public reporting burden for this collection or gathering and maintaining the data needed, and of information, including suggestions for redu (0704-0188), 1215 Jefferson Davis Highway, S subject to any penalty for failing to comply with PLEASE DO NOT RETURN YOUR FO	f information completing an cing the burd uite 1204, Ar a collection of <b>RM TO TH</b>	is estimated to average 1 hour d reviewing the collection of inf den, to Department of Defens lington, VA 22202-4302. Resg f information if it does not displa <b>IE ABOVE ADDRESS.</b>	per response, incl prmation. Send cor e, Washington Hea pondents should be y a currently valid (	uding the tim mments regar adquarters S aware that i OMB control	ne for reviewing instructions, searching existing data sources, rding this burden estimate or any other aspect of this collection Services, Directorate for Information Operations and Reports notwithstanding any other provision of law, no person shall be number.		
1. REPORT DATE (DD-MM-YYYY)	2. REPC		3. DATES COVERED (From - To)				
4. TITLE AND SUBTITLE				5a. COI	NTRACT NUMBER		
				5b. GRA	ANT NUMBER		
				5c. PRC	OGRAM ELEMENT NUMBER		
6. AUTHOR(S)				5d. PRC	DJECT NUMBER		
				5e. TAS	SK NUMBER		
				5f. WO	RK UNIT NUMBER		
7. PERFORMING ORGANIZATION N	ame(s) ar	ND ADDRESS(ES)		L	8. PERFORMING ORGANIZATION REPORT NUMBER		
9. SPONSORING/MONITORING AGE	NCY NAM	IE(S) AND ADDRESS(ES)			10. SPONSOR/MONITOR'S ACRONYM(S)		
					11. SPONSOR/MONITOR'S REPORT NUMBER(S)		
12. DISTRIBUTION/AVAILABILITY S	FATEMEN'	Т					
13. SUPPLEMENTARY NOTES							
14. ABSTRACT							
15. SUBJECT TERMS							
16. SECURITY CLASSIFICATION OF a. REPORT   b. ABSTRACT   c. TH	IIS PAGE	17. LIMITATION OF ABSTRACT	18. NUMBER OF	19a. NAI	ME OF RESPONSIBLE PERSON		
	IIS FAUE		PAGES	19b. TEL	EPHONE NUMBER (Include area code)		

## NMS Project Quarterly Report #Qtr5: 1-Jul-02 through 30-Sep-02 SUBMITTED TO Receiving Officer SPAWARSYSCEN - SAN DIEGO e-mail address: nms@spawar.navy.mil

Nikhil Dave and Steve Fujii Technical Representatives {nik, fujii}@spawar.navy.mil

Tiffany Townsend Contract Specialist, Code 2211 SPAWARSYSCEN SAN DIEGO CONTRACTS D212 PHONE 619-553-5472 FAX 619-553-4464 tiffany.townsend@spawar.navy.mil

# SUBMITTED BY University of California, San Diego (UCSD) 9500 Gilman Drive La Jolla, CA 92093-0505

Principal Investigator Dr. Kimberly Claffy PHONE 858-534-8333 FAX 858-822-0861 kc@caida.org

Contract/Financial Contact Pamela J. Alexander PHONE 858-534-0240 FAX 858-534-0280 pjalexander@ucsd.edu

## Quarterly Status Report #Qtr5

## Macroscopic Internet Data Collection and Analysis in Support of the NMS Community

## 1.0 Purpose of Report

This status report is the quarterly cooperative agreement report that summarizes the effort expended by the UCSD's Cooperative Association for Internet Data Analysis (CAIDA)

program in support of SPAWARSYSCEN-SAN DIEGO and DARPA on Agreement N66001-01-1-8909 during July - September 2002.

## 2.0 Project Members

UCSD hours: PI: 120.40 CAIDA Senior Staff: 731 CAIDA Staff: 1229.80 Total Hours: **2,081.20** 

## **3.0 Project Description**

This UCSD/CAIDA project focuses on advancing the capacity to monitor, depict, and predict traffic behavior on current and advanced networks, through developing and deploying tools to better engineer and operate networks and to identify traffic anomalies in real time. CAIDA will concentrate efforts in the development of tools to automate the discovery and visualization of Internet topology and peering relationships, monitor and analyze Internet traffic behavior on high speed links, detect and control resource use (security), and provide for storage and analysis of data collected in aforementioned efforts.

## 4.0 Performance Against Plan

Status	Task 1 Year 2 Milestones:	Notes
Progress	Add 5 additional skitter source sites	Done
Ongoing	Add 5 workload monitor sites	Added web page for accessing
2 2		NeTraMet measurements
Complete	Develop comprehensive website(s)	root/gTLD DNS
	for public availability of data	performance plots
		<ul> <li>skitter daily summaries</li> </ul>
		<ul> <li>NMS project progress</li> </ul>
		CoralReef analysis of
		SDNAP

(Please note: Changes since the last reporting period are in boldface type, and links have been updated with new content.)

Status	Task 2 Year 2 Milestones:	Notes
Ongoing	Establish archive and interactive	<ul> <li>research community</li> </ul>
	database for community access to	collaborators
	skitter, mantra, routing, and	<ul> <li>skitter daily summaries</li> </ul>

	CoralReef data.	Real-time workload characterization of
Ongoing	Solicit community feedback regarding needed data types, formats, and dataset sizes.	SDNAP Discussions occurred at USENIX, RIPE and NCS.
Ongoing	Work with the NMS community to design common experiments	Ken Keys worked with Nikhil Dave to resolve a problem with crl_delay.

## **5.0 Major Accomplishments and Results to Date**

## Task 1. Monitoring Task

## A. Topology Measurement Using Active Probes

## Approach

skitter is a CAIDA tool that measures both the forward path and round trip time (RTT) to a set of destination hosts by sending probe packets through the network. It does not require any configuration or cooperation from the remote sites on its target list. In order to reveal global IP topology, CAIDA's Macroscopic Topology Measurement and Mapping project builds software and infrastructure to:

- Collect forward path (layer 3) and RTT data
- Acquire infrastructure-wide global connectivity information
- Analyze the visibility and frequency of IP routing changes
- Visualize network-wide IP connectivity

An essential design goal of skitter is to execute its pervasive measurement while placing minimal load on the infrastructure and upon final destination hosts. To achieve this goal, skitter packets are small (52 bytes in length), and we restrict the frequency of probing to 1 packet every 2 minutes per destination and 300 packets per second to all destinations. To improve the accuracy of its round trip time calculations, CAIDA added a kernel module to the FreeBSD operating system platform used by its skitter monitors. Kernel timestamping does not solve the synchronization issue required for one-way measurements, but reduces variance caused by multitasking processing when taking round trip measurements. This feature helps to capture performance variations across the infrastructure more effectively. By comparing data from various sources, we can identify points of congestion and performance degradation or areas for potential improvements in the infrastructure.

b-root			d	ns.2	2002	2031	8.0	143k																									
a-root	dns.2	0020	318.	0143	3k															d	lns.	200	2080	1.0	10	Bk							
d-root	dns.2	0020	318.	0143	3k															d	lns.	200	2080	1.0	10	Bk							
e-root	dns.2	0020	318.	0143	3k															d	lns.	200	2080	1.0	10	Bk							
f-root	dns.2	200203	318.	0143	ßk															d	lns.	200	2080	1.0	10	Bk							
g-root			dn	s.20	020	318	.014	13k												d	lns.	200	2080	1.0	10	Bk							
h-root	dns.2	200203	318.	0143	ßk															d	lns.	200	2080	1.0	10	Bk							
i-root	dns.2	200203	318.	0143	ßk															d	lns.	200	2080	1.0	10	Bk							
k-peer	dns.2	200203	318.	0143	3k (	ins.	200	2031	8.01	.43k										d	lns.	200	2080	1.0	108	Bk							
k-root	dns.2	200203	318.	0143	3k															d	lns.:	200	2080	1.0	10	Bk							
n-root	dns.2	200203	318.	0143	3k															d	lns.:	200	2080	1.0	10	Bk							
riesling	ipv4.	20020	3208	.033	30k															d	lns.:	200	2080	1.0	108	Bk	ipv	4.:	200:	202/	08.0	Эір	v4.2
waikato	ipv4.	20020	9208	.008	80k															d	lns.	200	2080	1.0	108	Bk	ipv	4.:	200:	202	08.0	080	k
apan-jp	web																			d	lns.:	200	2080	1.0	10	Bk	ipv	4.:	200:	202	08.0	9133	k
cha <b>n</b> pagne	ipv4.	20020	3208	.013	33k															d	lns.:	200	2080	1.0	108	Bk	ipv	4.:	200:	202/	08.0	9133	k
iad	web																			d	lns.:	200	2080	1.0	108	Bk	ipv	4.:	200:	202/	08.0	9133	k
kaist	web																																i
lhr	ipv4.	20020	3208	.013	33k															d	lns.:	200	2080	1.0	108	Bk	ipv	4.:	200:	202/	08.0	9133	k
sjc	ipv4.	20020	9208	.013	33k												30	d-p	arty	-ld	lns.	200	2080	1.0	108	Bk	ipv	4.:	200:	2020	08.0	133	k
nrt	ipv4.	20020	9208	.033	80k															d	lns.	200	2080	1.0	10	Bk	ipv	4.:	200:	2020	08.0	)330	k
yto	ipv4.	20020	9208	.033	80k															d	lns.	200	2080	1.0	108	Bk	ipv	4.:	200:	2020	08.0	)330	k
nwest	ipv4.	20020	9208	.082	25k															d	lns.	200	2080	1.0	10	Bk	ipv	4.:	200:	2020	08.0	825	k
uoregon	ipv4.	20020	9208	.082	25k															d	lns.	200	2080	1.0	10	Bk	ipv	4.:	200:	2020	08.0	825	k
priya	priya	1																															
nonth	1 4 7 002	7	10	13	16	19	22	25	28	31	. 3 8	6	9	12	2 1!	5	18	21	24	27	' 3Ø	9	2 !	5	8	11	14	17	72	0 :	23	26	29
	3rd-p	arty	-lis	t.20	9020	813	.000	67k		ipv	4.2	00202	208.	033	Øk																		
	dns.2	0020	318.	0143	3k					ipv	4.2	00202	208.	082	5k																		
	dns.2	200201	301.	0108	3k					pri	ya																						
	ipv4.	20020	9208	.008	30k					web																							
	ipv4.	20020	9208	.013	33k																												

#### skitter Monitor Status as of 31-Mar-02 (24 monitors active):

#### A. Topology Analysis Results:

CAIDA published "Distance Metrics in the Internet" in which we compared four Internet distance metrics and analyzed the predictive power of these metrics in selecting, from a given source, the lowest latency destination from among a candidate set. The four metrics are: IP path length; autonomous system (AS) path length; great circle geographic distance; and previously measured round trip time (RTT). We describe general properties of these four metrics and, using an unprecedented volume of real Internet macroscopic topology and RTT data, compare their correlation with actual RTT to the destination. The new methodology we propose for testing different metrics is suitable for evaluating new distance estimation techniques as they become available. This paper is publicly available at http://www.caida.org/outreach/papers/2002/Distance/

### **B.** Workload / Performance Measurement Using Passive Monitors

One OC48 trace was successfully captured from the Metromedia Fiber Network (MFN) backbone in San Jose, CA. We collected one 7 hour trace on August 14, 2002.

During August, a project occurred to collect simultaneous measurements for data analysis correlation. Measurements included iffinder on the SJC skitter box; an OC-12 DAG trace at the UCSD/SDSC external gateway; and tcpdumps on all DNS root skitter boxes and on the F-root logger machine.

## DNS Analysis

CAIDA began working with F-root operators to analyze a serious macroscopic DNS performance issue: RFC1918 (black-hole) DNS updates. RFC1918 private address space traffic by definition should remain local, yet root nameservers must waste significant time and resources processing these illegitimate DNS queries. CAIDA devised log file analysis techniques to identify the OS sending the illegitimate DNS queries, including code for analyzing unique DNS query hosts and domain names in PTR updates. See <a href="http://www.caida.org/outreach/papers/2001/Rssac2001a/">http://www.caida.org/outreach/papers/2001/Rssac2001a/</a> and <a href="http://www.caida.org/outreach/papers/2001/DNSPerfMeas/">http://www.caida.org/outreach/papers/2001/DNSPerfMeas/</a> for publications.

### **C. Routing Measurement**

## Generating a Map of Exchange Point Usage:

Skitter paths are represented by the IP addresses of the nodes that the packet visits in order to get to its destination. Since destinations may cross domains, the IP addresses can be mapped to Autonomous System numbers (ASNs), as is done currently in skitter dailies. However, skitter dailies are innately inaccurate when IP addresses in the paths belong to Exchange Points (XPs) where multiple ASes may come to peer. We are refining our methodology for generating daily skitter-based AS charts to account for these methodological idiosycracies in xchange point usage.

Our new methodology starts by modifying the prefix-to-ASN mapping that we gather from Routeviews (http://www.routeviews.org). We identify the prefixes that belong to an XP and map prefix-to-XP name. IP addresses in the skitter paths to the longest match can map to either an ASN or an XP. We then analyze XP usage. For example, we can infer that if AS1 sends a packet to XP\_PAIX and XP\_PAIX sends that packet to AS2, then AS1 is peering with AS2 at XP\_PAIX. Once we have identified the paths using ASNs and XP names, we can break down the paths into FROM -> TO tuples and use Otter to plot those tuples. Finally, we use NetGeo to determine the geographical location of the exchange points. We recognize that the methologies are still primitive in terms of the empirical complexity of the Internet, and yet also the most advanced `state of the art' at this time.

### Task 2, Archiving and Storage Task

Requestor	Organization	Project							
Thinh Nguyen	UC Berkeley	Routing for video							
Weixiong Rao	Yeixiong RaoShanghai Jiaotong University, China								
Abhinav Kothari									
	Vidyanagari Amrutdham, Panchavati,								
	INDIA								
Stefan Bender	Saarland University, Saarbrucken,	Host distance							
	Germany	estimation							
Samson Lee	Policy based network								
	Australia								
Phil Lowden	North Carolina State University	Compare cost-based							
		vs. policy-based							
		routing.							
Other collaborative pr	rojects at:								
http://www.caida.org/	/projects/nms/reports/skitter_comuse.xml								
Previous collaborative	e projects at:								
http://www.caida.org/	/projects/nms/reports/prev_skitter_comuse.								
xml									
PhD students using sl		7							
Master's students using	ng skitter data	5							
About publicly availa	ble skitter data:								
http://www.caida.org/	/cgi-bin/skitter_summary/main.pl								

#### Approach for Archiving skitter Data and Making Data Available to Researchers

#### Approach for Archiving CoralReef Data

- 1. CAIDA maintains a SDNAP report generator, publishing workload characterization results at http://www.caida.org/dynamic/analysis/workload/sdnap/0\_0\_/. Results are updated every 5 minutes.
- **2.** CAIDA archives CoralReef data for special purpose studies as needed, but must limit data collection to available disk space.

#### 6.0 Artifacts Developed During the Past Quarter

None

#### 7.0 Issues

We continue to operate at a significant deficit based on notification of Year 2 funds. We received \$352,875 in April and a \$150,000 conadd in June. Discussions with SPAWAR

and DARPA revealed that the DARPA funds used to fund Task 1 were allocated only for Year 1, CAIDA is unable to complete Year 2, Task 2 or the proposed conadd work without Task 1. Current discussions concern possible changes to the SOW. In August, CAIDA prepared a new SOW for Yr 3 (Oct 1 – Sep 30, 2003) to work within a budget amount of \$351,316.

## 8.0 Near-term Plan

The following work is planned for 01-Oct-02 through 31-Dec-02:

## General/Administrative Outreach and Reporting Plans

• Submit Quarterly Report to SPAWAR covering progress, status and management.

### Task 1. Monitoring Task Plans

• A. Topology Measurement

• CAIDA will continue to collect and analyze data for its macroscopic topology project.

### • B. Workload Measurement

- CAIDA will continue to analyze traces gathered from OC48 links at Metromedia Fiber Network (MFN) in San Jose. We are trying to find other locations for OC48 traffic taps, but need additional funding for that.
- Refinement of the CoralReef software suite will continue, (http://www.caida.org/tools/measurement/coralreef/).

## • C. Routing Measurement

CAIDA will continue to refine methodology and results from ongoing routing studies.

#### Task 2, Archiving and Storage Task Plans

- We will continue to collect and analyze data collected from skitter sources deployed in the field.
- We will continue to make skitter topology and performance data available to researchers via password authentication for use in their research and monitor results. See: http://www.caida.org/projects/nms/reports/skitter\_comuse.xml
- We will continue briefings to the Internet community on the purpose and results of skitter active monitoring and will solicit their feedback.

• We will refine the collection and archiving of skitter data

## 9.0 Completed Travel

The following travel incurred expenses to this award and occurred during Year 2, Qtr 1, 1-Jul-02 through 30-Sep-02:

• David Moore 8/7 – 8/8 San Francisco – Usenix Security Conference

Other related travel occurred but was not charged to this award.

This quarter we moved \$2,396 of international travel expenses off of this grant. Nevil Brownlee's trip 4/27/02 - 6/21/02 to attend a RIPE meeting involved travel from Auckland, New Zealand to Boston to Amsterdam, but we inadvertently did not request or receive the required prior permission.

## 10.0 Equipment Purchases and Description

Three FreeBSD systems and one workstation monitor were purchased.

## **11.0 Significant Events**

- Kc claffy pursued discussions with John Todd of the National Communications System (NCS), an agency wanting to fund tools and data for modeling Internet health. CAIDA was asked to investigate whether NCS might be able to fund Option 1 and/or Option 2 of this effort.
- Ken Keys communicated with Lucent developers concerning issues he discovered while testing the Lucent Optistar gigE interface.
- Ken Keys rewrote crl\_oneway (crl\_delay) to track TCP ACK delays and statistics in addition to one way delay statistics. Ken also corresponded with Ron Nolte of SAIC regarding design refinement options.
- Kc claffy provided 3, 6, and 12 month milestones (in five possible research areas: I. Passive monitoring, II. Infrastructure protection (homeland security), III. Active monitoring; IV. Routing/toplogy analysis and modeling: V. Internet Spectroscopy) to David Nicol (for presentation to Sri Kumar) for meeting NMS program performance and scalability goals.
- CAIDA provided source code for its walrus 3D directed graph visualizer to Dr. John Poindexter, Director of the IAO office at DARPA, who is interested in using walrus in IAO's Total Info Awareness Program,
- Kc claffy corresponded with Sri Kumar to answer his questions about CAIDA's Walrus 3D image illustrating Code-Red worm infections within prefix 24.0.0.0/8 on July 19, 2001.
- CAIDA provided Anup Ghosh of DARPA with a walrus 3D visualization of the CodeRed worm for use in a DARPA presentation.

## **12.0 Publications and Presentations:**

- 1. The following papers were published:
  - B. Huffaker, M. Fomenkov, D. Plummer, D. Moore, and k. claffy, ``Distance Metrics in the Internet,", in IEEE International Telecommunications Symposium (ITS), Brazil, Sept 2002, IEEE.
  - N. Brownlee and k. claffy, ``Understanding Internet Traffic Streams: Dragonflies and Tortoises,", IEEE Communications, Jul 2002.
- 2. The following presentations were given:
  - a. Kc claffy presented "Internet measurements: myths about Internet Data" presented July 2002 at SDSC. http://www.caida.org/outreach/presentations/2003/lsn20030610/
  - b. David Moore presented "Network Telescopes: Observing Small or Distant Security Events" August 8, 2002 at the USENIX Security conference in San Francisco. <u>http://www.caida.org/outreach/presentations/2002/usenix\_sec/</u>
  - c. Kc claffy presented "measurement and analysis of the root DNS system: update" to the DNS working group at the 43<sup>rd</sup> RIPE meeting September 9-13, 2002 in Rhodes, Greece. http://www.caida.org/outreach/presentations/dns0209/
  - d. Brad Huffaker and Marina Fomenkov presented "Distance Metrics in the Internet" September 8-12, 2002 in Natal, Brazil. <u>http://www.caida.org/outreach/presentations/2002/Distance/</u>
- 3. CAIDA prepared and submitted a Technical Report, Financial Report and Quad Chart for the IPTO 2002 Project Summary Collection.
- 4. CAIDA prepared a CAIDA NMS project summary slide in support of an interim Director's review of NMS.

## **13.0 FINANCIAL INFORMATION:**

Contract #: N66001-01-1-8909

Contract Period of Performance: 5 Jun 2001 to 5 Jun 2004

Ceiling Value: \$ 1,726,160

Current Obligated Funds: \$1,726,160

Reporting Period: 1 Jul 2002 to 30 Sep 2002

Actual Costs Incurred: \$ 1,089,947

#### **Current Period:**

UCSD Labor Hours: 2,081.20 \$ 85,513 ODC's: \$ 11,534 IDC's: \$ 46,440 TOTAL: **\$ 143,487** 

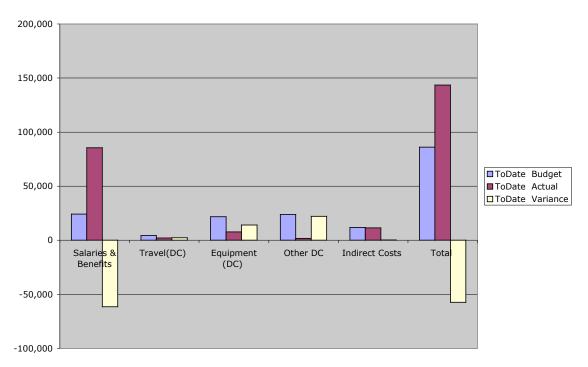
#### Cumulative to date:

Labor Hours: 18,191.97 \$ 664,699 ODC's: \$ 65,491 IDC's: \$ 359,757 TOTAL: **\$ 1,089,947** 

## Cost Curves for Jul - Sep 2002:

	ToDate	ToDate	ToDate
	Budget	Actual	Variance
Salaries &	24,107	85,513	-61,406
Benefits			
Travel(DC)	4,450	2,114	2,336
Equipment	21,791	7,738	14,053
(DC)			
Other DC	23,875	1,682	22,193
<b>Indirect</b> Costs	11,831	11,534	297
Total	86,054	143,487	-57,433

See Section 7.0 Issues for an explanation of the discrepancy between spending level and budget.



#### NMS Cost Curves Jul - Sep 2002