Passive Monitoring of RTT spikes

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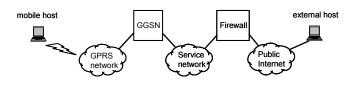
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Problem formulation:

- Sudden increase in the RTT of a TCP/IP connection is called *an RTT spike*.
 - Such a phenomenom may exist specially in mobile/wireless environments.
 - Spikes are not congestion related but, instead, are unpredictable for the TCP.
 - In mobile connections *mobility management* and *mobile routing* are possible sources of such spikes.
 - In wireless connections *the distance to the base station* is one possible source of spikes.
- In the worst case the RTT estimation algorithm of TCP gets confused, spurious timeouts and unnecessary retransmissions cause loss of goodput for TCP. (A *Strict* RTT spike.)
- Even without a retransmission the goodput of TCP gets worse. (A [non-strict] RTT spike.)
- What is the statistical significance of this phenomenom and how to monitor it passively? Statistical inference and modeling?

Available measurements: (In GSM/GPRS, EDGE/GPRS)



- All down- and upstream traffic of a TCP flow goes through the same GGSN.
- Time difference of a data segment and the corresponding ACK, measured at GGSN is called 'half' RTT.

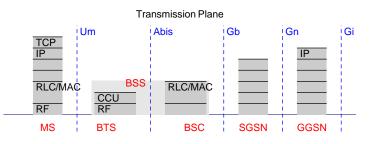
• There are four different 'half' RTT cases:

| End host | Mobile | Internet |
|----------|------------|------------|
| Client | RTT_{MC} | RTT_{IC} |
| Server | RTT_{MS} | RTT_{IS} |

• We concentrate for RTT_{MC} .

Approach/methodology used: (For statistical inference and modeling purposes)

• We use *spectroscopy (Radon transform)* to find out link characteristics like the number of uplink *Packet Data Channels (PDCHs)* and *channel codings* used in the uplink.



• Given packet sizes B_i and packet interarrival times d_i , measured at the GGSN, we analyze empirical probabilities

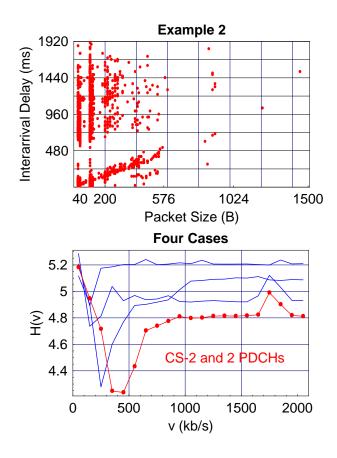
$$p(B,d) = \frac{1}{n-1} \sum_{i=1}^{n-1} \mathbf{1}_{\{(B,d)=(B_{i+1},d_i)\}}.$$

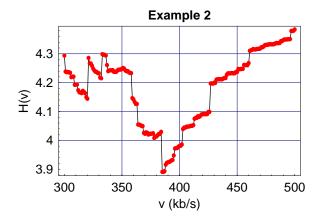
• The Radon transform in our case is defined as

$$p_R(r,v) = \sum_B p\left(B, r + \frac{18.5 + 20}{N_{PDCH}} N_{block}(B, CS) + \left\lceil (8 \times B)/v \right\rceil\right)$$

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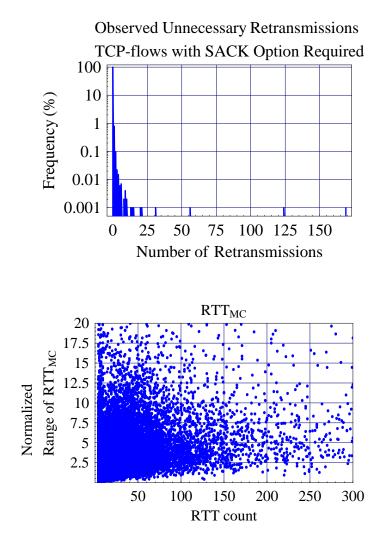
Preliminary results: (How the Radon transform method works)

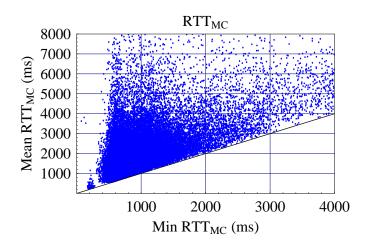




- This analysis is based *only* on time stamps and packet sizes.
- Minimum RTT_{MC} ?
- According to link characteristics we try to group TCP flows into *as homo-geneous classes as possible*.

Validation: (That RTT spikes can be observed passively)





- It seems that at least 1% of TCP flows suffer from strict RTT spikes.
- There is some evidence that nonstrict RTT spikes are much more common.
- An RTT spike for an EDGE user is not a spike for a GSM user!

Next steps: (Work in progress and still in early phases)

- Practical issues:
 - How to get high-quality mobile TCP data. (Subscribers' privacy, operator's business secrets, organization, technical issues *et cetera*).
- Theoretical issues:
 - Statistically useful definition of an RTT spike? (Unnecessary retransmission may identify a strict RTT spike but non-strict spikes are also of importance.)
 - Homogeneous classes according to the link characteristics would be required for more fruitful statistical inference!
 - Robustness and minimum requirements of the Radon transform method?